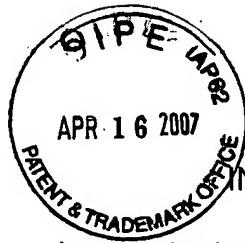


HW



94992-00001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Carl Frederick Nelson, et al.

Group Art Unit: 3714

U.S. Serial No.: 10/757,953

Examiner: Kesha Frisby

Confirmation No.: 5052

Filed: January 15, 2004

For: System and Method for Providing
Customized, Effective, Risk Based
Ethics and Compliance Training and
Information Using a Network

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Mail Stop Amendment
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P.O. Box 1450
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AMENDMENT

Dear Sir:

In response to the Office Action of January 31, 2007, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.